

HOLANI CONSULTANTS PRIVATE LTD.

"Creating Possibilities, Creating Value."

INVESTOR GRIEVANCES REDRESSAL

(STOCK BROKING DIVISION)

Data for month ending April 30, 2023

| | | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution |
|---|----------------------------|---|---------------------------------|------------------|-----------|---|---|-----------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | time^ (in days) |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 0 |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | | 8 NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIII | - | | |
| 3 | Stock | | | | NIL | NIL | | NIL |
| | Exchanges | NIL | NIL | NIL | NIL | NIL | | NIL |
| 4 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL NIL | | NIL |
| | Grand Total | NIL | NIL | NIL | NIL | | | NIL |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|------------|
| 1 | 2 | 3 | 4 | 5 | |
| 1 | April 2023 | NIL | | | 6 |
| 2 | March 2023 | | NIL | NIL | NIL |
| 3 | February- 2023 | NIL | NIL | NIL | NIL |
| 4 | | NIL | NIL | NIL | NIL |
| | sJanuary-2023 | NIL | NIL | NIL | NIL |
| 5 | December-2022 | NIL | NIL | NIL | |
| 6 | November-2022 | NIL | NIL | | NIL |
| 7 | October-2022 | NIL | | NIL | NIL |
| 8 | September-2022 | | NIL | NIL | NIL |
| 9 | | NIL | NIL | NIL | NIL |
| | August-2022 | NIL | NIL | NIL | NIL |
| 10 | July-2022 | NIL | NIL | NIL | |
| | Grand Total | NIL previous months resolved in | NII | MIII | NIL NIL |

^{*}Should include complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

| SN | Year | Carried forward From previous year | Received during the year | | Pending at the |
|----|--------------------|--|-----------------------------|-----------------|-----------------|
| 1 | 2021-22 | * *** | the year | During the year | end of the year |
| 2 | | - | 343 - | - | - |
| 4 | 2022-23 | - | 44- | | |
| | Grand Total | 19 19 19 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | -6 | - | SPVT. |
| | | | - | - | 13-1 |

^{**}Should include total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in thecurrent month divided by total number of complaints resolved in the current month.